



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/852,433	05/09/2001	Hemal V. Shah	42390P10681

CONFIRMATION NO. 4979

08791

BLAKELY SOKOLOFF TAYLOR & ZAFMAN
12400 WILSHIRE BOULEVARD, SEVENTH FLOOR
LOS ANGELES, CA 90025

FORMALITIES LETTER



OC000000006253486

Date Mailed: 07/03/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/07/2001 BNGUYEN1 00000034 09852433

01 FC:105

130.00 OP



#3

PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/852,433
	Filing Date	May 9, 2001
	First Named Inventor	Hemal V. Shah
	Group Art Unit	2661
	Examiner Name	Not yet assigned
Total Number of Pages in This Submission	Attorney Docket Number	42390P10681

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Declaration One reference Check for \$130.00 Stamped, return postcard
Remarks It is believed no fee is due with this transmission, however, should a fee be deemed due, please debit Blakely Sokoloff Taylor & Zafman Deposit Account No. 02-2666.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Clive D. Menezes; Reg. No. 45,493
Signature	
Date	7/30/2001

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <u>July 30, 2001</u>			
Typed or printed name	Reina R. Bernfeld		
Signature		Date	July 30, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Hemal V. Shah and Dave B. Minturn

Serial No.: 09/852,433

Filed: May 9, 2001

Title: METHOD AND APPARATUS FOR
COMMUNICATING USING
LABELED DATA PACKETS IN A
NETWORK

*
*
*
*
*
*
*
*
*
*
*
*

Group Art Unit: 2661

Examiner: Not yet assigned

Attorney Docket No.: 42390P10681

BOX MISSING PARTS
Assistant Commissioner of Patents
Washington, D.C. 20231

RESPONSE TO NOTICE OF MISSING PARTS

Enclosed please find the inventor's Declaration and Power of Attorney for the above-referenced U.S. patent application. A copy of the Notice to File Missing Parts of Nonprovisional Application, along with a check for the appropriate fee is also enclosed.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

Date:

7/30/2001

Clive D. Menezes, Reg. No. 45,493

12400 Wilshire Boulevard
Seventh Floor
Los Angeles, CA 90025-1026
512-330-0844



PTO/SB/17 (09-00)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 130.00

Complete if Known

Application Number	09/852,433
Filing Date	Not yet assigned
First Named Inventor	Hemal V. Shah
Examiner Name	Not yet assigned
Group Art Unit	2661
Attorney Docket No.	42390P10681

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number

02-2666

Deposit
Account
Name

Blakely, Sokoloff, Taylor & Zafman

- ☒ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

- ☐ Applicant claims small entity status.
See 37 CFR 1.27

2. ☒ Payment Enclosed:

- ☒ Check ☐ Credit card ☐ Money
Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
-------------	-------------	-------------	-------------	-----------------

101	710	201	355	Utility filing fee
-----	-----	-----	-----	--------------------

106	320	206	160	Design filing fee
-----	-----	-----	-----	-------------------

107	490	207	245	Plant filing fee
-----	-----	-----	-----	------------------

108	710	208	355	Reissue filing fee
-----	-----	-----	-----	--------------------

114	150	214	75	Provisional filing fee
-----	-----	-----	----	------------------------

Fee Paid

SUBTOTAL (1) (\$ -0-

2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims	-20** =	X	
Independent Claims	-3** =	X	
Multiple Dependent			

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
-------------	-------------	-------------	-------------	-----------------

103	18	203	9	Claims in excess of 20
-----	----	-----	---	------------------------

102	80	202	40	Independent claims in excess of 3
-----	----	-----	----	-----------------------------------

104	270	204	135	Multiple dependent claim, if not paid
-----	-----	-----	-----	---------------------------------------

109	80	209	40	** Reissue independent claims over original patent
-----	----	-----	----	---

110	18	210	9	** Reissue claims in excess of 20 and over original patent
-----	----	-----	---	---

SUBTOTAL (2)

(\$ -0-

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
-------------	-------------	-------------	-------------	-----------------

Fee Description

Fee Paid

105	130	205	65	Surcharge - late filing fee or oath
-----	-----	-----	----	-------------------------------------

127	50	227	25	Surcharge - late provisional filing fee or cover sheet
-----	----	-----	----	---

139	130	139	130	Non-English specification
-----	-----	-----	-----	---------------------------

147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination
-----	-------	-----	-------	--

112	920*	112	920*	Requesting publication of SIR prior to Examiner action
-----	------	-----	------	---

113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action
-----	--------	-----	--------	--

115	110	215	55	Extension for reply within first month
-----	-----	-----	----	--

116	390	216	195	Extension for reply within second month
-----	-----	-----	-----	---

117	890	217	445	Extension for reply within third month
-----	-----	-----	-----	--

118	1,390	218	695	Extension for reply within fourth month
-----	-------	-----	-----	---

128	1,890	228	945	Extension for reply within fifth month
-----	-------	-----	-----	--

119	310	219	155	Notice of Appeal
-----	-----	-----	-----	------------------

120	310	220	155	Filing a brief in support of an appeal
-----	-----	-----	-----	--

121	270	221	135	Request for oral hearing
-----	-----	-----	-----	--------------------------

138	1,510	138	1,510	Petition to institute a public use proceeding
-----	-------	-----	-------	---

140	110	240	55	Petition to revive - unavoidable
-----	-----	-----	----	----------------------------------

141	1,240	241	620	Petition to revive - unintentional
-----	-------	-----	-----	------------------------------------

142	1,240	242	620	Utility issue fee (or reissue)
-----	-------	-----	-----	--------------------------------

143	440	243	220	Design issue fee
-----	-----	-----	-----	------------------

144	600	244	300	Plant issue fee
-----	-----	-----	-----	-----------------

122	130	122	130	Petitions to the Commissioner
-----	-----	-----	-----	-------------------------------

123	50	123	50	Petitions related to provisional applications
-----	----	-----	----	---

126	240	126	240	Submission of Information Disclosure Stmt
-----	-----	-----	-----	---

581	40	581	40	Recording each patent assignment per property (times number of properties)
-----	----	-----	----	---

146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))
-----	-----	-----	-----	--

149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))
-----	-----	-----	-----	---

179	710	279	355	Request for Continued Examination (RCE)
-----	-----	-----	-----	---

169	900	169	900	Request for expedited examination of a design application
-----	-----	-----	-----	--

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 130.00

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)

Clive D. Menezes

Registration No.
(Attorney/Agent)

45,493

Telephone

(512) 330-0844

Signature

Clive D. Menezes

Date

7/30/2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



SECTOR
#

PTO/SB92 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U. S. Patent and Trademark Office; U. S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

BOX MISSING PARTS

Commissioner for Patents
Washington, D.C. 20231

on July 30, 2001
Date

Reina R. Bernfeld
Signature

Reina R. Bernfeld

Typed or printed name of person of signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Encl.: Response to Notice to File Missing Parts
Transmittal
Fee Transmittal (+ copy)
Inventor's Declaration
Copy of Notice to File Missing Parts
Form PTO-1449A (one page)
One reference
Check for \$130.00
Return postcard

Burden Hour Statement: This form is estimated to take 0.03 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.